



Advanced Computer Networks

Congestion control

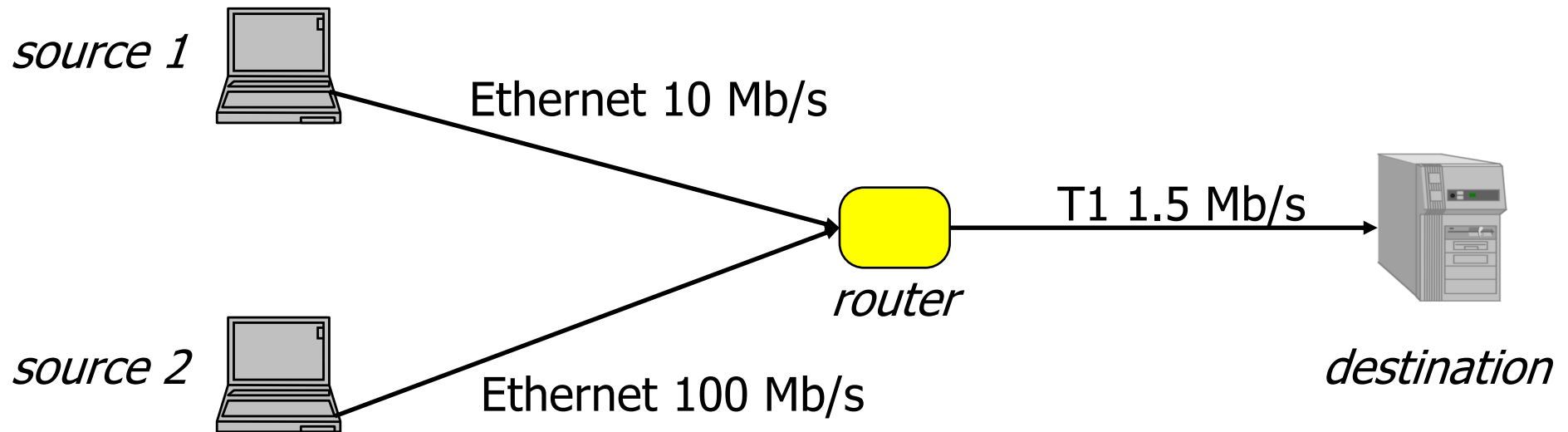
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 - efficiency
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- Max-min fairness
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Congestion control

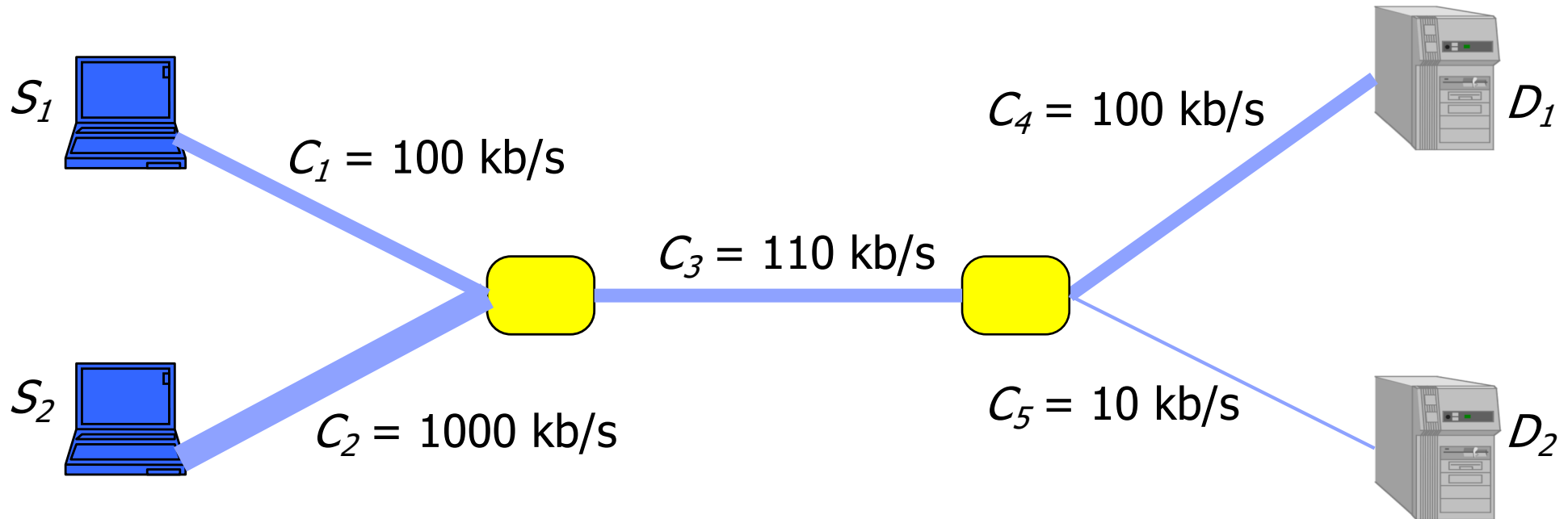


- How to allocate network resources?
 - link capacity
 - buffers at routers or switches
- What to do when the traffic exceeds link capacity?
 - congestion control

Performance criteria

- Efficiency
 - best use of allocated resources
 - max throughput - 100 % utilization
 - min delay - 0 % utilization
- Fairness (équité)
 - fair share to each user
 - different definitions of fairness
 - equal share
 - max-min fairness
 - proportional fairness

Congestion Control - example



- Sources send as much as possible
- Allocation of throughput
 - if the offered traffic exceeds the capacity of a link, all sources see their traffic reduced in proportion of their offered traffic
 - approximately true if FIFO in routers

Throughput allocation

- Throughput $x_{l/s}$: source s on link l
- Traffic λ_s : generated by source s
- Allocation

$$x_{11} = \min (\lambda_1, C_1)$$

$$x_{22} = \min (\lambda_2, C_2)$$

$$x_{3i} = \min (x_{ij}, C_3 x_{ij} / (x_{11} + x_{22}))$$

$$x_{41} = \min (x_{31}, C_4)$$

$$x_{52} = \min (x_{32}, C_5)$$

$$\text{throughput } \vartheta = x_{41} + x_{52}$$

Our example:

$$x_{11} = 100$$

$$x_{22} = 1000$$

$$x_{31} = 110 \times 100 / 1100 = 10$$

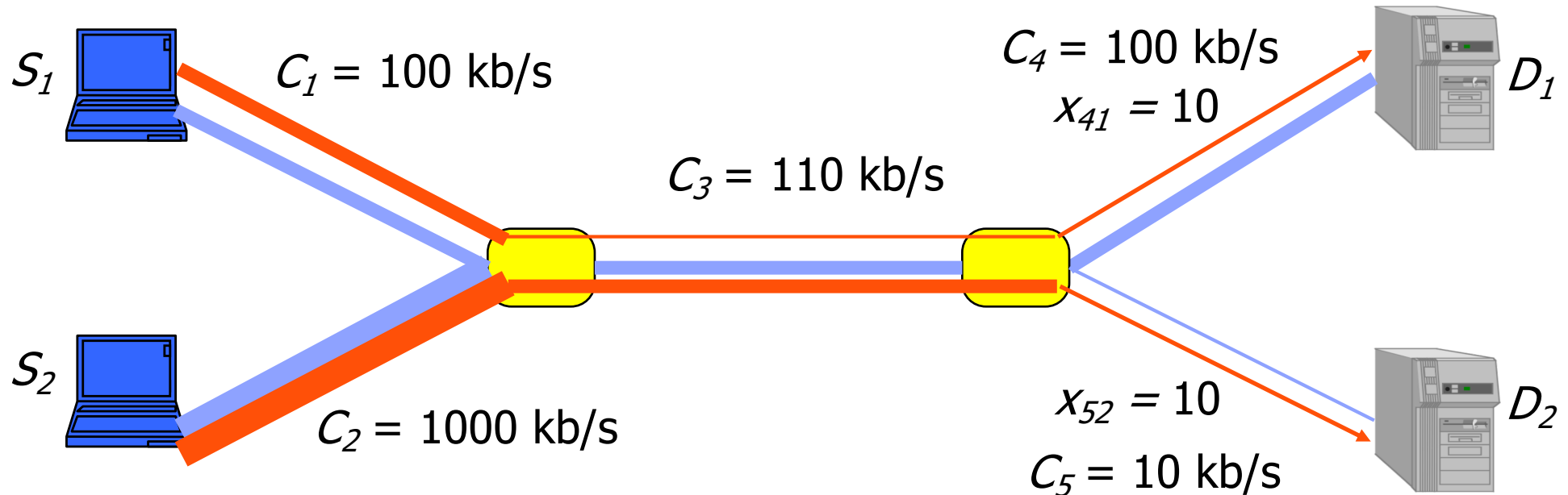
$$x_{32} = 110 \times 1000 / 1100 = 100$$

$$x_{41} = 10$$

$$x_{52} = 10$$

$$\text{throughput } \vartheta = 20 \text{ kb/s}$$

Congestion Control - example

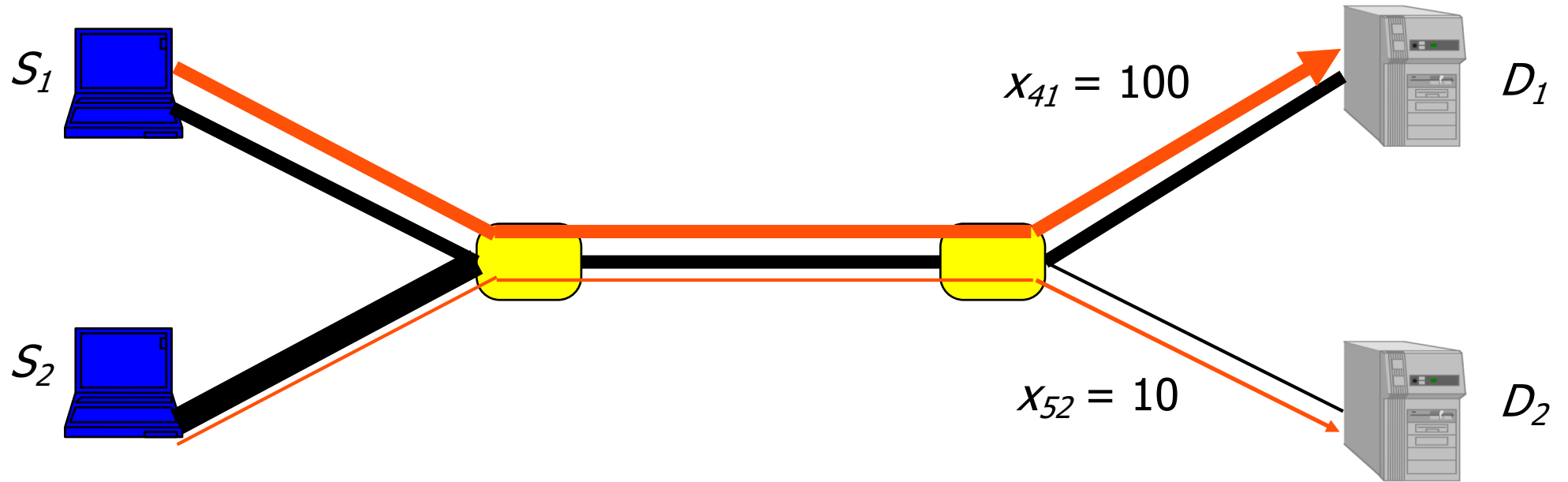


- S_1 sends 10 kb/s because it is competing with S_2 on link 3
- S_2 is limited on link 5 anyway

Congestion Control - exemple

- How to increase throughput?
 - if S_2 is aware of the global situation and if it would cooperate
 - S_2 reduces x_{22} to 10 kb/s, because anyway, it cannot send more than 10 kb/s on link 5
 - $x_{31} = 100$ kb/s and $x_{41} = 100$ kb/s without any penalty for S_2
 - throughput is now $v^{\mathcal{I}} = 110$ kb/s

Congestion Control - exemple



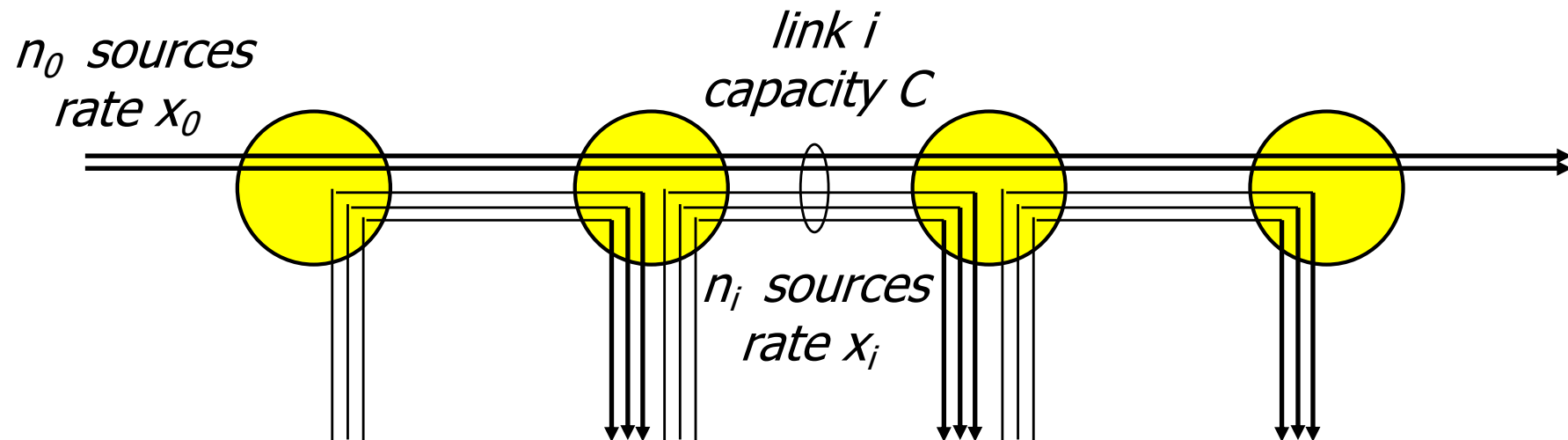
- Optimal use of resources

Efficiency criterion

- In a packet network, sources should limit their sending rate by taking into consideration the state of the network. Ignoring this may put the network into congestion collapse
 - network resources are not used efficiently
 - performance indices perceived by sources are not satisfactory
- One objective of congestion control is to avoid such inefficiencies

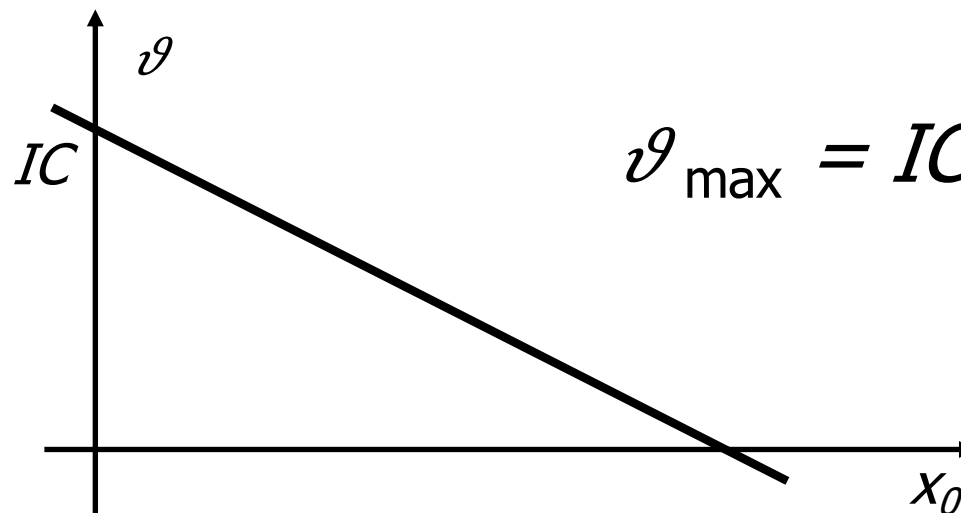
Efficiency versus Fairness

- Parking lot scenario
 - link capacity: C
 - n_i sources, rate x_i , $i = 1, \dots, I$
 - traffic on link i : $n_0 x_0 + n_i x_i$



Maximal throughput

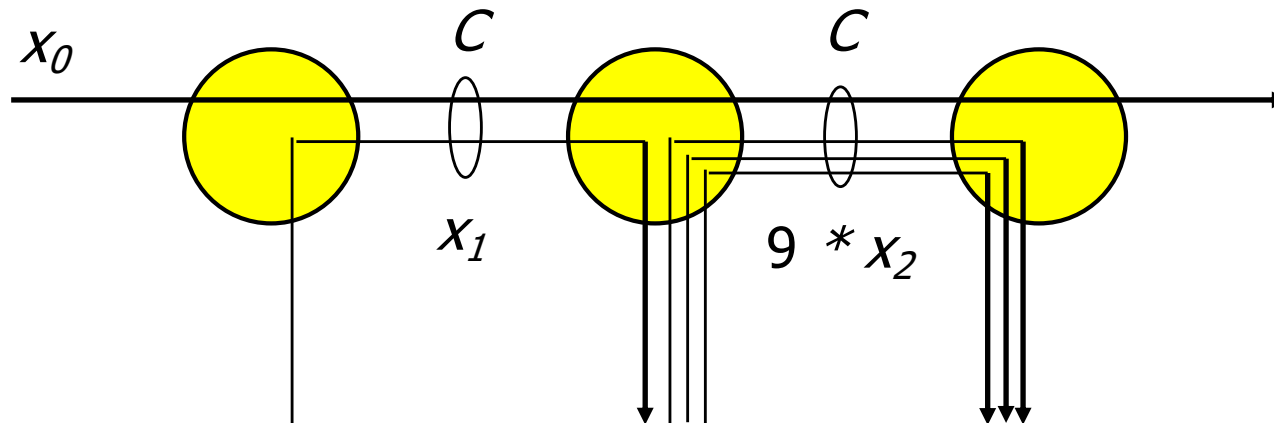
- For given n_0 and x_0 , maximizing throughput requires that
 - $n_j x_j = C - n_0 x_0$
- Total throughput, measured at the network output
 - $\vartheta = n_0 x_0 + \sum n_j x_j = n_0 x_0 + \sum (C - n_0 x_0) =$
 $= n_0 x_0 + I(C - n_0 x_0) = IC - (I - 1) n_0 x_0$



$$\vartheta_{\max} = IC \text{ for } x_0 = 0!$$

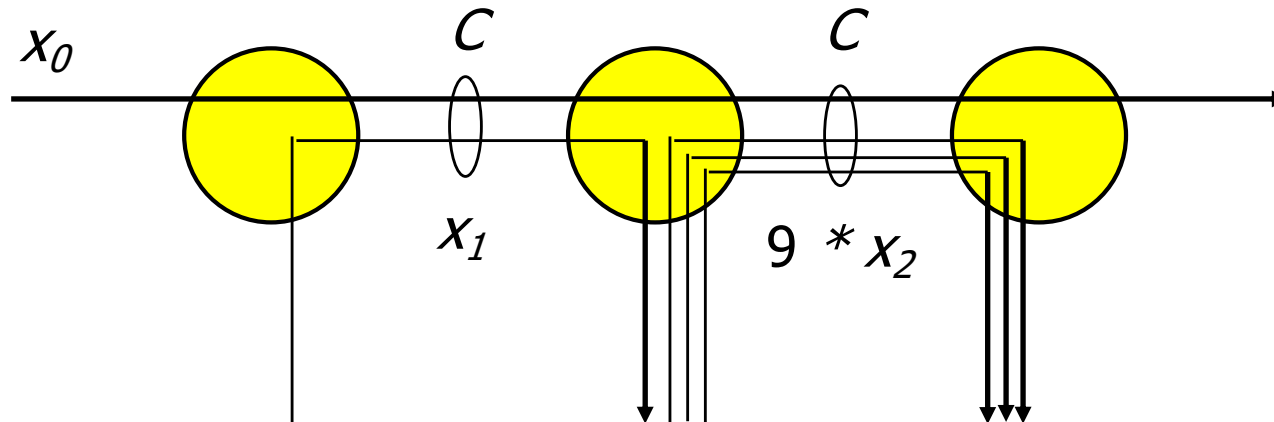
Maximum throughput

- Example
 - $I = 2, n_0 = n_1 = 1, n_2 = 9$
- The value of x_0 for maximum throughput?
 - 1: C ?
 - 2: $2C$?
 - 3: $0.1 C$?
 - 4: None of the above?



Maximum throughput

- Find x_0 x_1 x_2 such that:
 - $x_0 + x_1 \leq C \rightarrow x_0 + x_1 = C$
 - $x_0 + 9x_2 \leq C$
 - Maximize $x_0 + x_1 + 9x_2 \rightarrow x_0 + x_1 + 9x_2 = 2C$
 - $9x_2 = C$
 - $x_0 = 0, x_1 = C, x_2 = C/9$



Pareto Efficiency (Optimality)

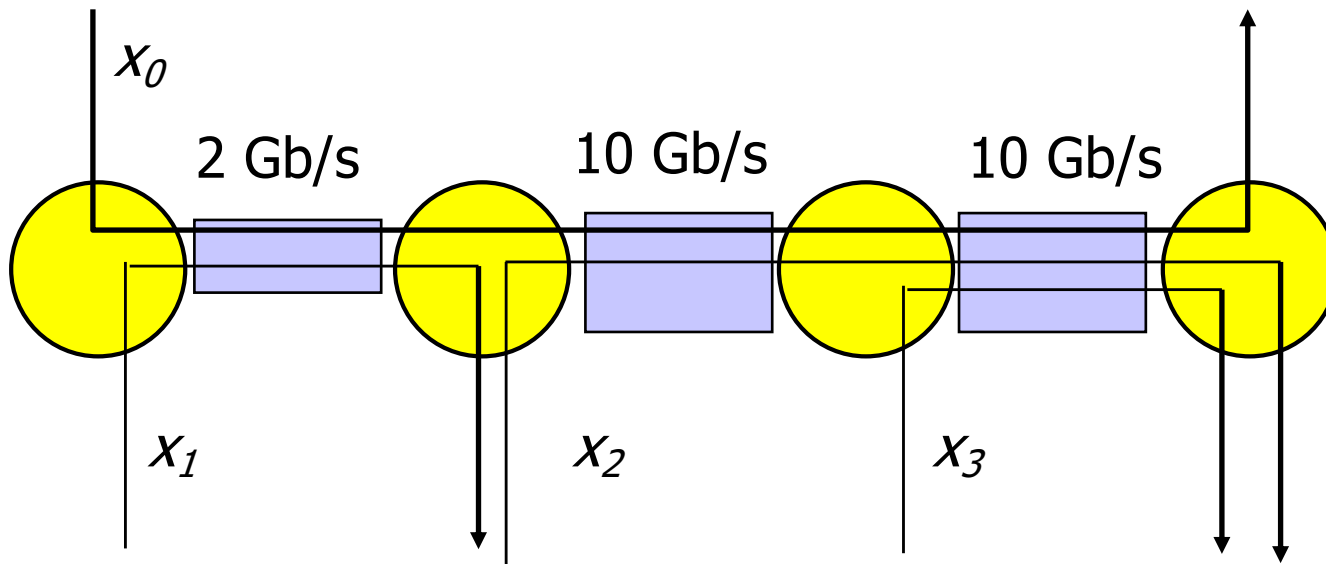
- A feasible **allocation of rates** x_i is called **Pareto-efficient** iff increasing one source must be done at the expense of decreasing some other source
- For a feasible allocation x_i' , for every i :
- if $x_i' > x_i$ then $x_j' < x_j$
- **Every source has a bottleneck link** (i.e., for every source i there exists a link, used by i , which is saturated)

Pareto Efficiency (Optimality)

- State of resource allocation in which there is no alternative state that would make some people better off without making anyone worse off
- In the case of multiple flows, it means that giving higher rate to a flow cannot reduce the throughput of other flows

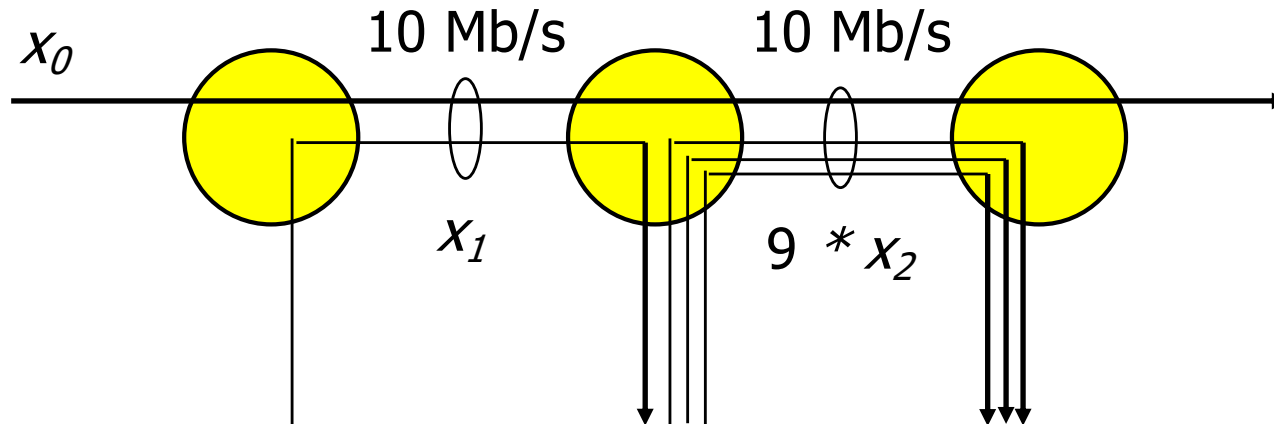
Allocation Pareto-Efficient?

- $x_0 = 1, x_1 = 1, x_2 = 2, x_3 = 7$?
- $x_0 = 1, x_1 = 1, x_2 = 4.5, x_3 = 4.5$?
- Both?
- None?
- I don't know?



Pareto-Efficient?

- $x_0 = 0, x_1 = 10, x_2 = 10/9?$
- $x_0 = 0.55, x_1 = 9.45, x_2 = 1.05?$
- $x_0 = 1, x_1 = 9, x_2 = 1?$



Pareto Efficiency

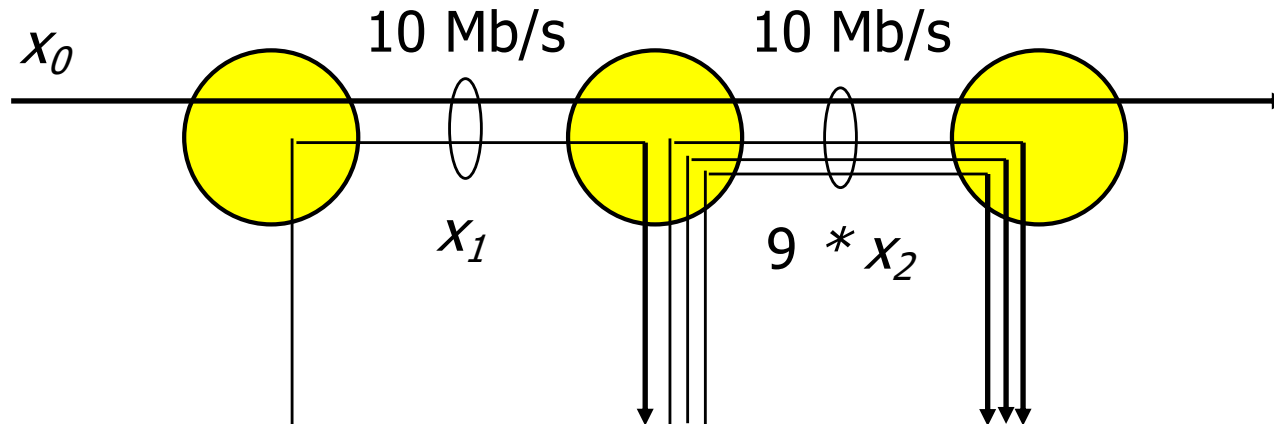
- The Pareto efficient allocations are the ones that **use the resources maximally**
- Maximal efficiency means Pareto optimality.
- Maximizing total throughput is Pareto optimal, but it means shutting down some flows (x_0) this is at the expense of fairness.
- Are there Pareto-efficient allocations that are fair?
What is fairness?
- Egalitarianism (give each flow the same part) is not Pareto-efficient

Fairness

- Maximizing network throughput as a primary objective may lead to large unfairness
 - some sources may get a zero throughput
- Fairness criterion – **equal share to all**
 - let allocate the same share to all sources (egalitarianism), e.g., for $n_j = 1$
 - $x_j = C/2$
 - $v_{fair} = (I+1)C/2$
 - roughly half of maximal throughput

Fair (equal share)?

- $x_0 = x_1 = x_2 = 0.5$?
- $x_0 = x_1 = x_2 = 1$?
- $x_0 = x_1 = x_2 = 10/9$?

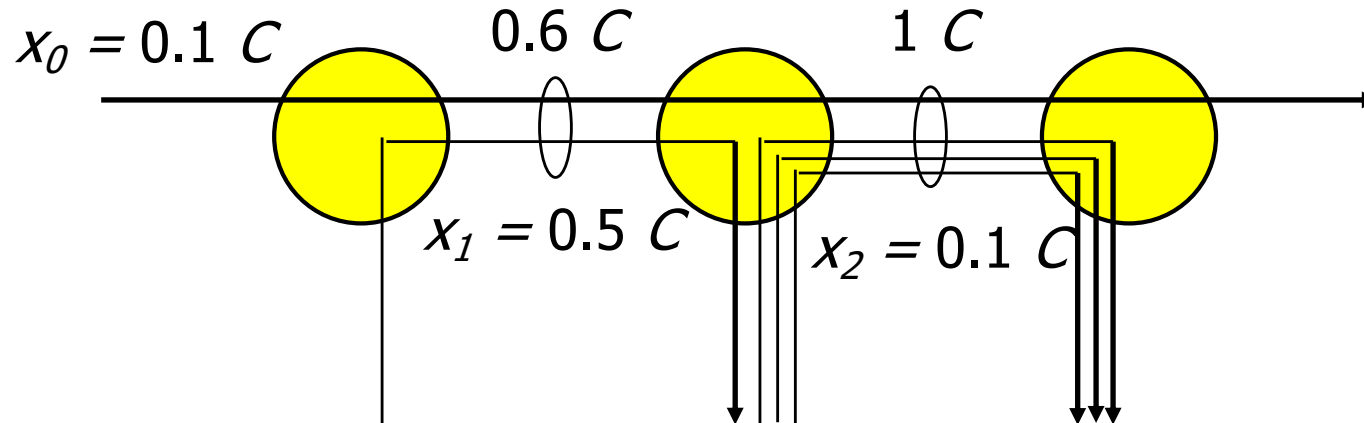


Equal share fairness

- Consider the parking lot scenario for any values of n_i
 - equal share on link i
 - $x_i = C / (n_0 + n_i), i = 1, \dots, I$
 - let decrease x_0 to increase ν (we have seen that this maximizes throughput)
 - $x_0 = \min C / (n_0 + n_i),$
 - example
 - $I = 2, n_0 = n_1 = 1, n_2 = 9$
 - link 2: $x_2 = C / (1 + 9) = 0.1 C$
 - link 1: $x_1 = C / (1 + 1) = 0.5 C$
 - $x_0 = \min (0.5 C, 0.1 C) = 0.1 C$
- Allocating equal shares is not a good solution
 - some flows can get more

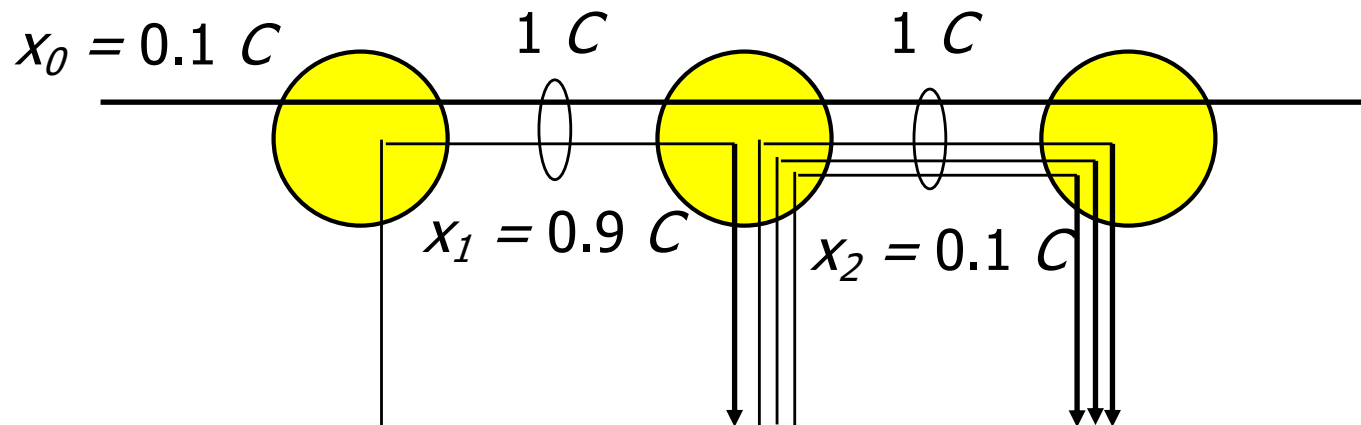
Example

- Problem
 - link 1: $0.6 C$
 - underutilized
 - link 2: $1 C$



Max-Min Fairness

- We can increase x_1 without penalty for other flows
 - $x_0 = 0.1 C$, $x_1 = 0.9 C$, $x_2 = 0.1 C$
- This allocation is Pareto-efficient!



Max-Min Fairness

- Allocating resources in an equal proportion is not a good solution since some sources can get more than others without decreasing others' shares
- Max-Min fair allocation
 - Min: because of the fairness on bottleneck links
 - Max: because we can increase throughput whenever possible
- For every source i , increasing its rate must force the rate of some other (not richer) source j to decrease
- An allocation is max-min fair if *any rate increase contradicts fairness*
- Max-min fair allocation is Pareto-efficient (converse is not true)

Progressive filling

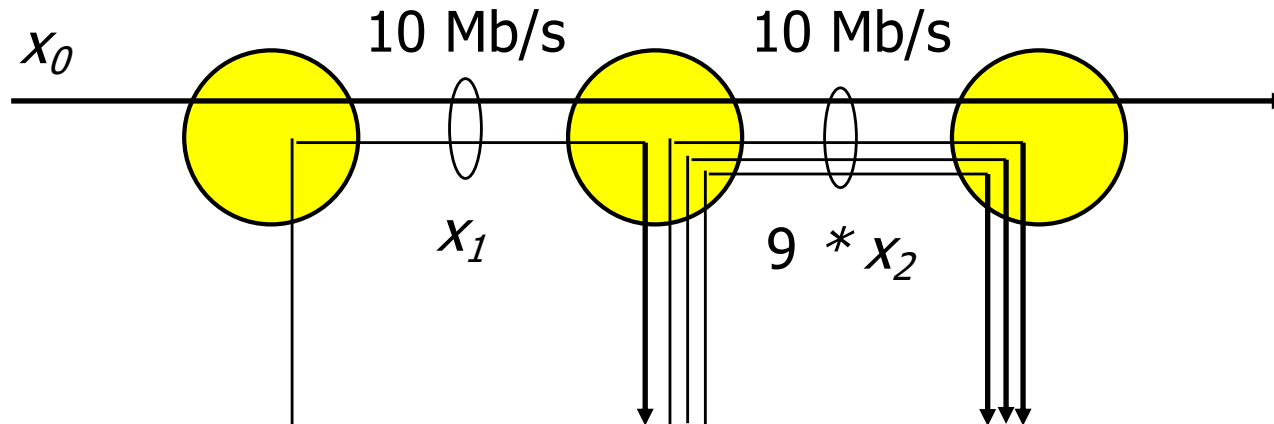
- Bottleneck link / for source s
 - link / is saturated: $\sum x_i = C$
 - source s on link / has the maximum rate among all sources using that link
- Progressive filling allocation
 - $x_i = 0$
 - increase x_i equally until $\sum x_i = C$
 - rates for the sources that use this link are not increased any more
 - all the sources that do not increase have a bottleneck link (Min)
 - continue increasing the rates for other sources (Max)

Example

- Parking lot scenario
 - $x_i = 0$
 - $x_i = d$ until $n_0 x_0 + n_i x_i = C$
 - bottleneck link for $d_1 = \min (C / (n_0 + n_i))$, source 0 or i
 - $x_0 = \min (C / (n_0 + n_i))$
 - increase other sources
 - $x_i = (C - n_0 x_0) / n_i$
- In our example
 - $x_0 = 0.1 C, x_2 = 0.1 C$
 - $x_1 = 0.9 C$

Max-Min Fair?

- $x_0 = 0$ $x_1 = 10$, $x_2 = 10/9$?
- $x_0 = 1$ $x_1 = 9$ $x_2 = 1$?



Exercise

- $C = 10$
- We have four flows with demands of 2, 2.6, 4, 5
- What is the Max-min allocation to flows?

Exercise

- Two sources 1 and 2 share a capacity link C. The flow x_i of source i is limited by
 - $x_i \leq r_i, i = 1, 2$
- Let $C = 9 \text{ Mb/s}, r_1 = 3 \text{ Mb/s}, r_2 = 8 \text{ Mb/s}$
- Find x_i assuming the allocation is max-min

Proportional Fairness

- Equal share fairness and Max-min fairness
 - per link only
 - do not take into account the number of links used by a flow
 - flows x_0 benefit from more network resources than flows x_j
- Another fairness
 - give higher throughput to flows that use less resources
 - give smaller throughput to flows that use more resources
- Proportional fairness

Proportional Fairness

- An allocation of rates x_s is *proportionally fair* if and only if, for any other feasible allocation y_s we have (S sources)

$$\sum_{s=1}^S \frac{y_s - x_s}{x_s} \leq 0$$

- Any change in the allocation must have a negative average change
- Parking lot example with $n_s = 1$
 - max-min fair allocation $x_s = C/2$ for all s
 - let decrease x_0 by δ : $y_0 = C/2 - \delta$, $y_s = C/2 + \delta$, $s = 1, \dots, I$
 - average rate of change is positive - not proportionally fair for $I \geq 2$!

$$\left(\sum_{s=1}^I \frac{2\delta}{c} \right) - \frac{2\delta}{c} = \frac{2(I-1)\delta}{c}$$

Proportional Fairness

- There exists one unique proportionally fair allocation. It is obtained by maximizing

$$J(\vec{x}) = \sum_s \ln(x_s)$$

over the set of feasible allocations for all sources s

Parking lot example

- For any choice of x_0 we should set x_i such that
 - $n_0 x_0 + n_i x_i = C, i = 1, \dots, I$

- Maximize

$$f(x_0) = n_0 \ln(x_0) + \sum_{i=1}^I n_i (\ln(C - n_0 x_0) - \ln(n_i))$$

over the set $0 \leq x_0 \leq C / n_0$.

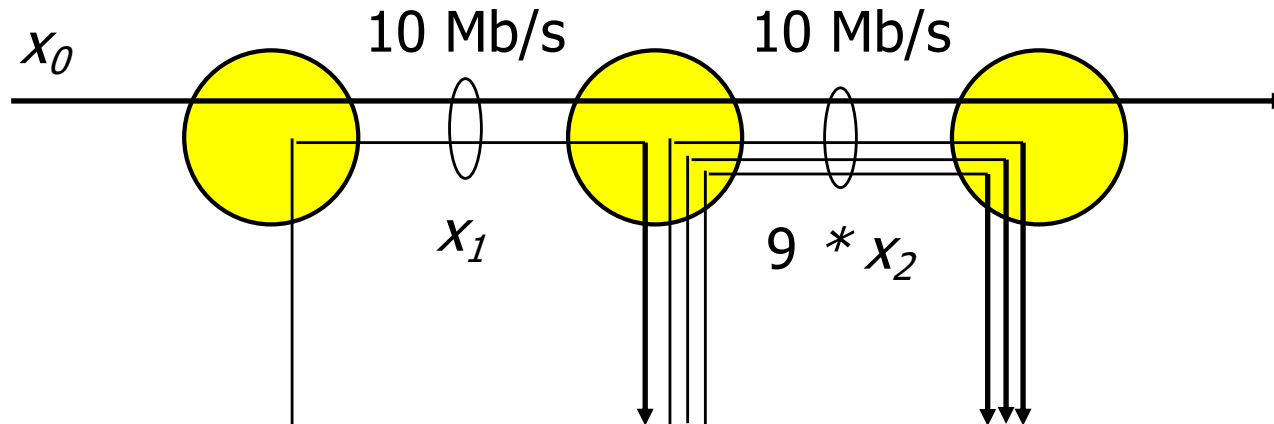
- The maximum is for

$$x_0 = \frac{C}{\sum_{i=0}^I n_i} \quad x_i = \frac{C - n_0 x_0}{n_i}$$

- If $n_i = 1, x_0 = C / (I+1), x_i = CI / (I+1)$
- Max-min allocation is $C/2$ for all rates - sources of type 0 get a smaller rate, since they use more network resources

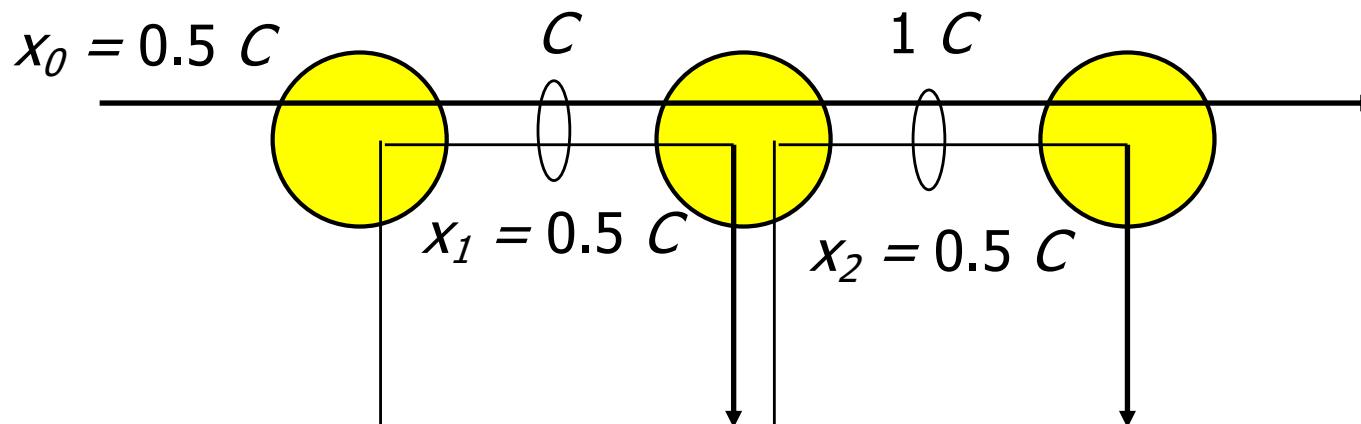
Proportionally Fair?

- $x_0 = 1$ $x_1 = 9$ $x_2 = 1$?
- $x_0 = 0.909$ $x_1 = 9.091$ $x_2 = 1.010$?



Comparisons

- $I = 2, n_i = 1$
- max throughput:
 - $x_0 = 0, \text{ throughput} = 2C$
- equal-share and max-min:
 - $x_0 = C/2, x_i = C/2, \text{ throughput} = 1.5C$
- proportional fairness:
 - $x_0 = C/3, x_i = 2C/3, \text{ throughput} = 5C/3$



End-to-end congestion control

- End-to-end congestion control
 - binary feedback from the network: congestion or not
 - rate adaptation mechanism: decrease or increase
- Modeling
 - I sources, rate $x_i(t)$, $i = 1, \dots, I$
 - link capacity: C
 - discrete time, feedback cycle = one time unit
 - during one time cycle, the source rates are constant, and the network generates a binary feedback signal $y(t) \in \{0, 1\}$
 - sources: increase the rate if $y(t) = 0$ and decrease if $y(t) = 1$
 - feedback

$$y(t) = [if \left(\sum_{i=1}^I x_i(t) \leq c \right) then 0 else 1]$$

Linear adaptation algorithm

- Find constants u_0, u_1, v_0, v_1 , such that

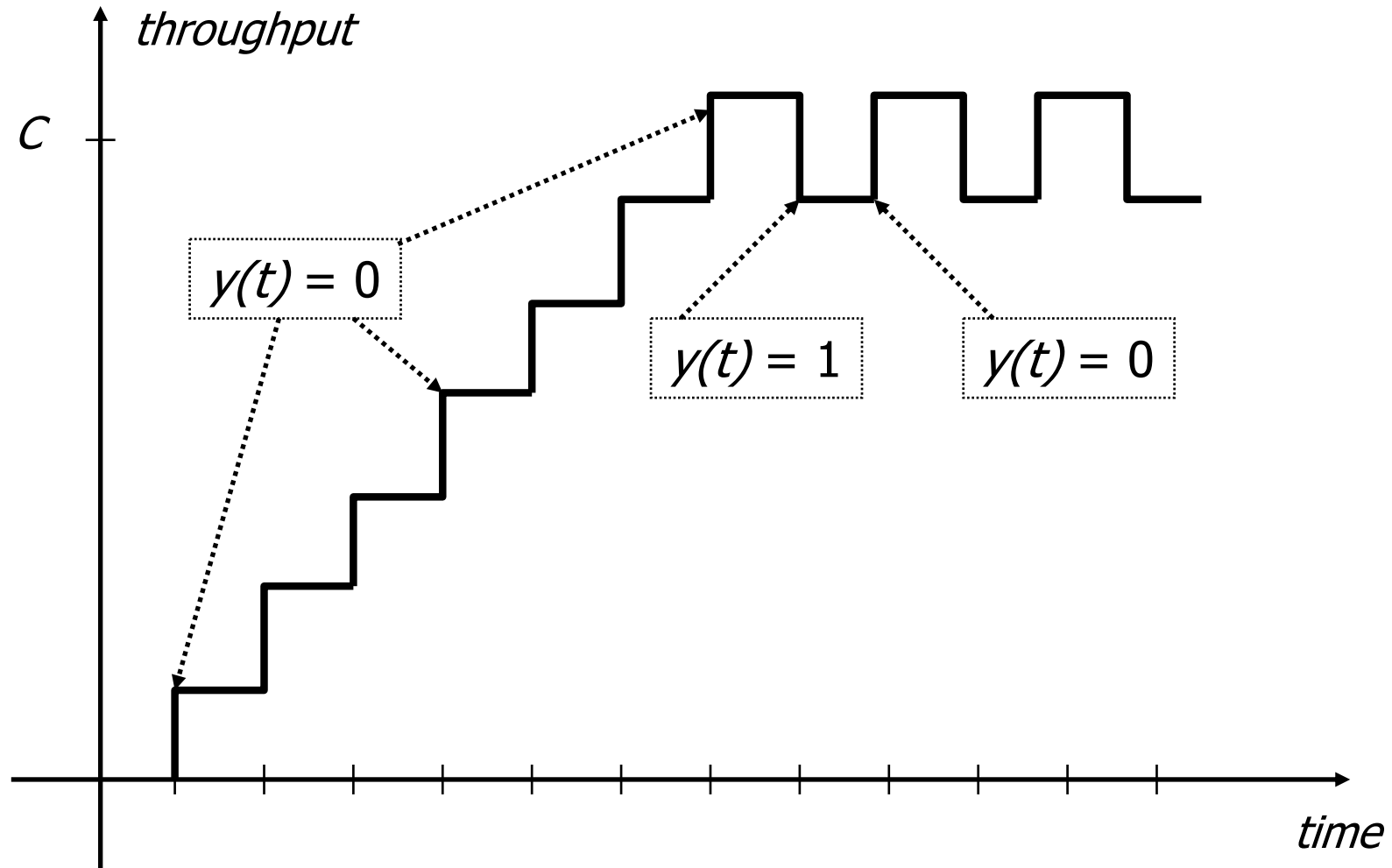
$$x_i(t+1) = u_{y(t)} x_i(t) + v_{y(t)}$$

- we want to converge towards a fair allocation
- one single bottleneck, so all fairness criteria are equivalent
- we should have $x_i = C/I$
- the total throughput

$$f(t) = \sum_{i=1}^I x_i(t)$$

should oscillate around C : it should remain below C until it exceeds it once, then return below C

Linear adaptation algorithm



Necessary conditions

$$f(t+1) = u_{y(t)} f(t) + v_{y(t)}$$

- we must have

$$u_0 f + v_0 > f, \text{ increase rate if feedback 0}$$

$$u_1 f + v_1 < f, \text{ decrease rate if feedback 1}$$

- this gives the following conditions

$$u_1 < 1 \text{ and } v_1 \leq 0 \quad (\text{A})$$

or

$$u_1 = 1 \text{ and } v_1 < 0 \quad (\text{B})$$

and

$$u_0 > 1 \text{ and } v_0 \geq 0 \quad (\text{C})$$

or

$$u_0 = 1 \text{ and } v_0 > 0 \quad (\text{D})$$

Ensure fairness

$u_1 < 1$ and $v_1 \leq 0$ (A)

or

$u_1 = 1$ and $v_1 < 0$ (B)

and

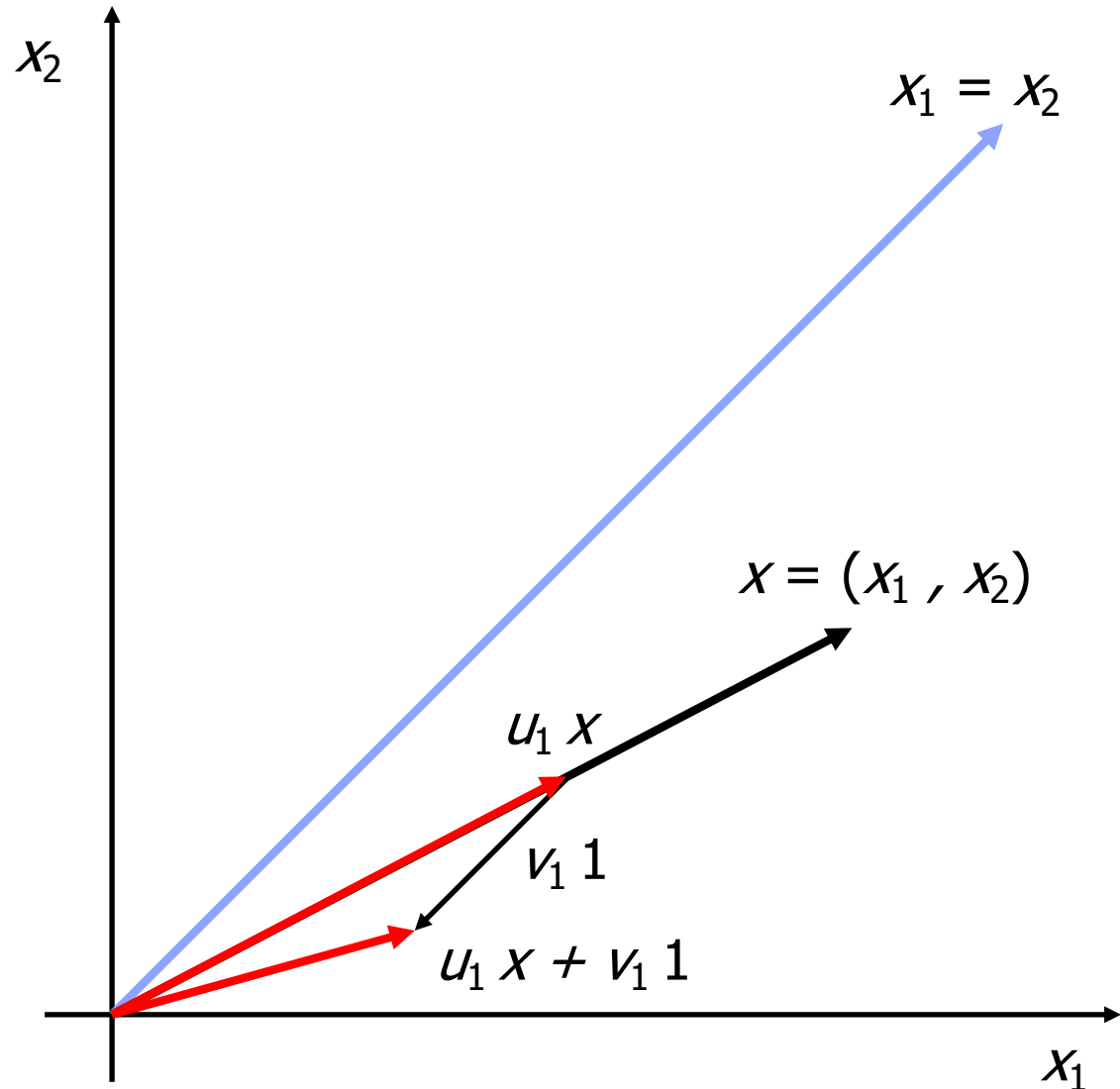
$u_0 > 1$ and $v_0 \geq 0$ (C)

or

$u_0 = 1$ and $v_0 > 0$ (D)

how to decrease rate?

$v_1 = 0, u_1 < 1$



Ensure fairness

$u_1 < 1$ and $v_1 \leq 0$ (A)

or

$u_1 = 1$ and $v_1 < 0$ (B)

and

$u_0 > 1$ and $v_0 \geq 0$ (C)

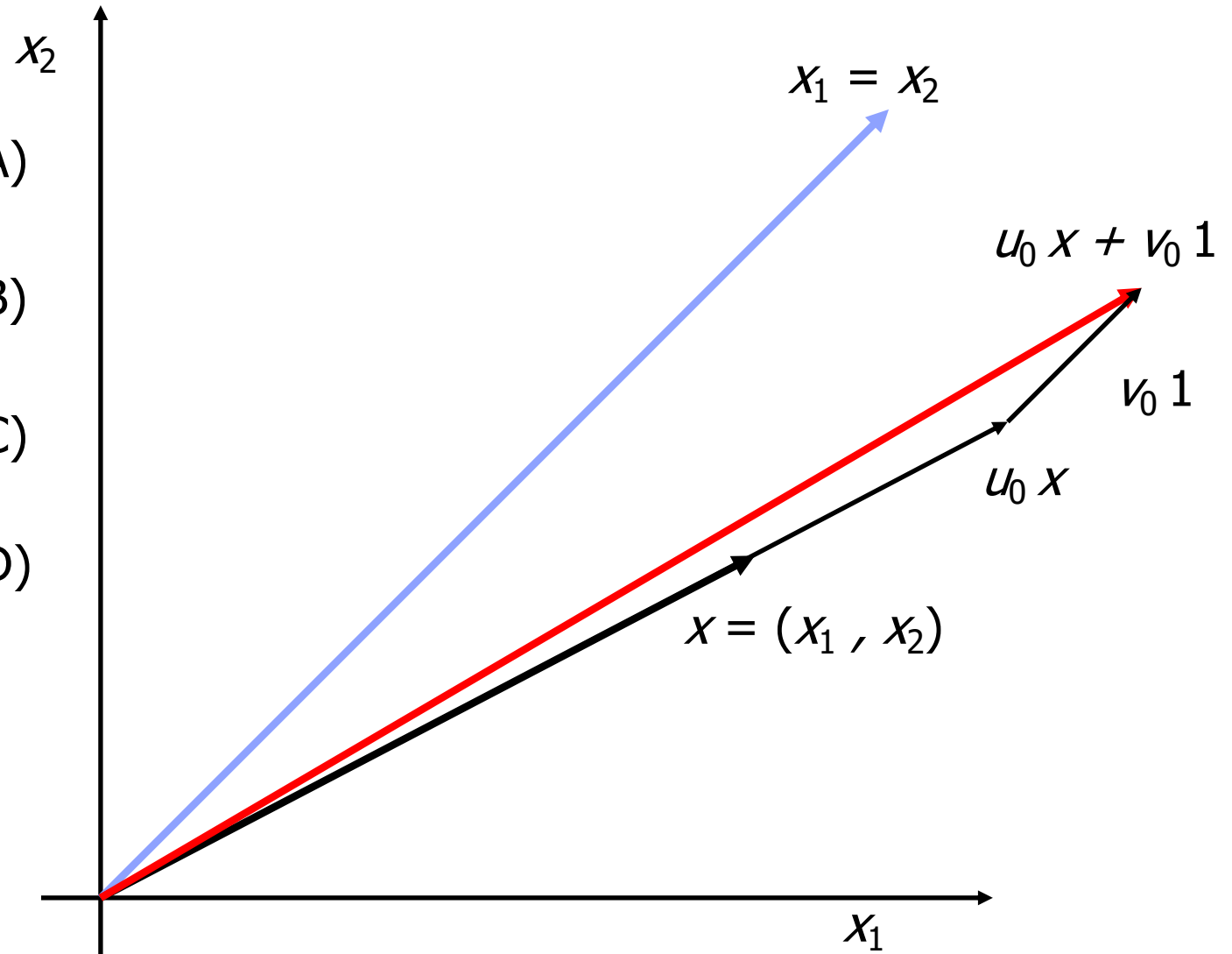
or

$u_0 = 1$ and $v_0 > 0$ (D)

how to increase rate?

$v_0 > 0, u_0 > 1$ or

$v_0 > 0, u_0 = 1$



Ensure fairness

$u_1 < 1$ and $v_1 \leq 0$ (A)

or

$u_1 = 1$ and $v_1 < 0$ (B)

and

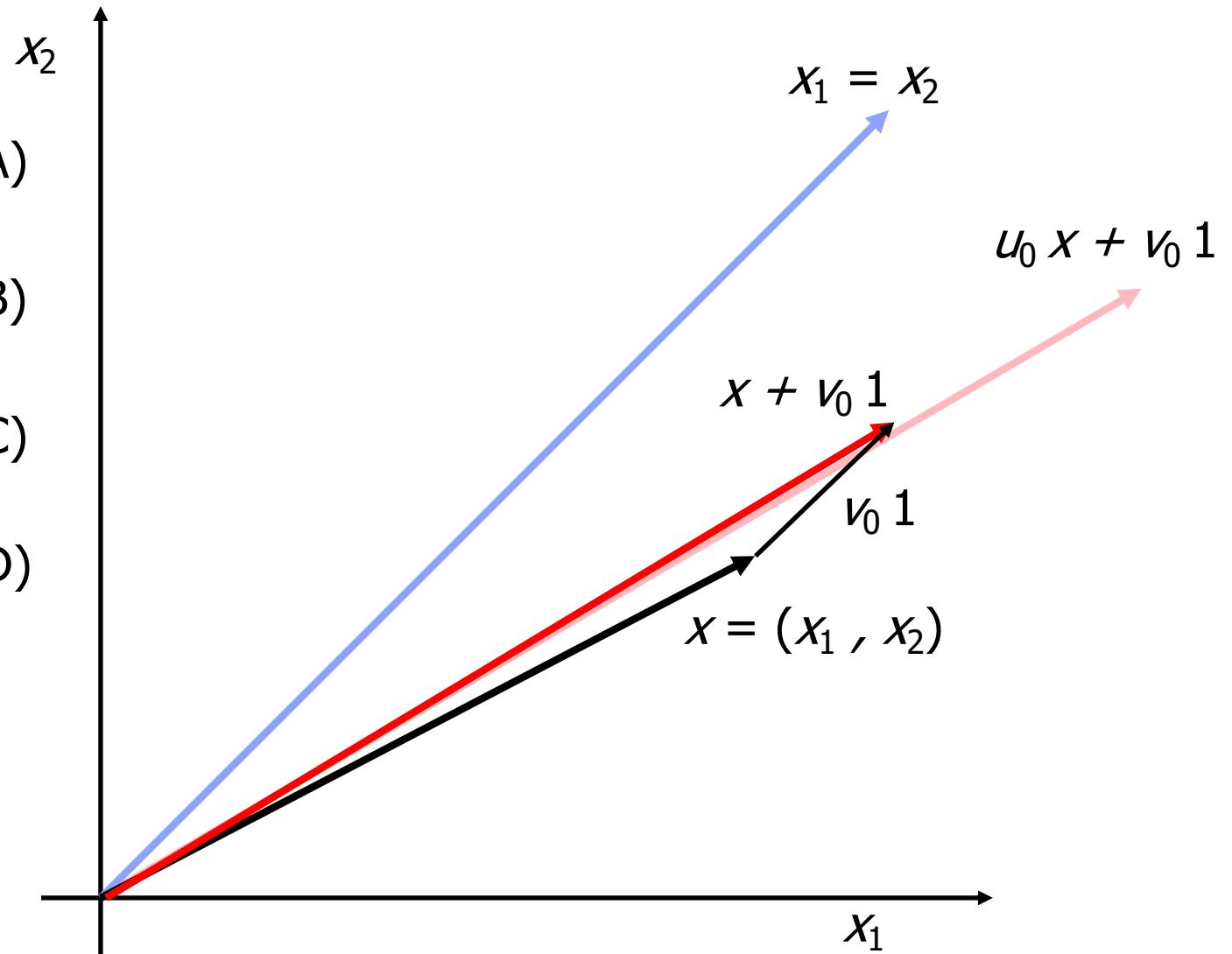
$u_0 > 1$ and $v_0 \geq 0$ (C)

or

$u_0 = 1$ and $v_0 > 0$ (D)

how to increase rate?

$v_0 > 0, u_0 = 1$



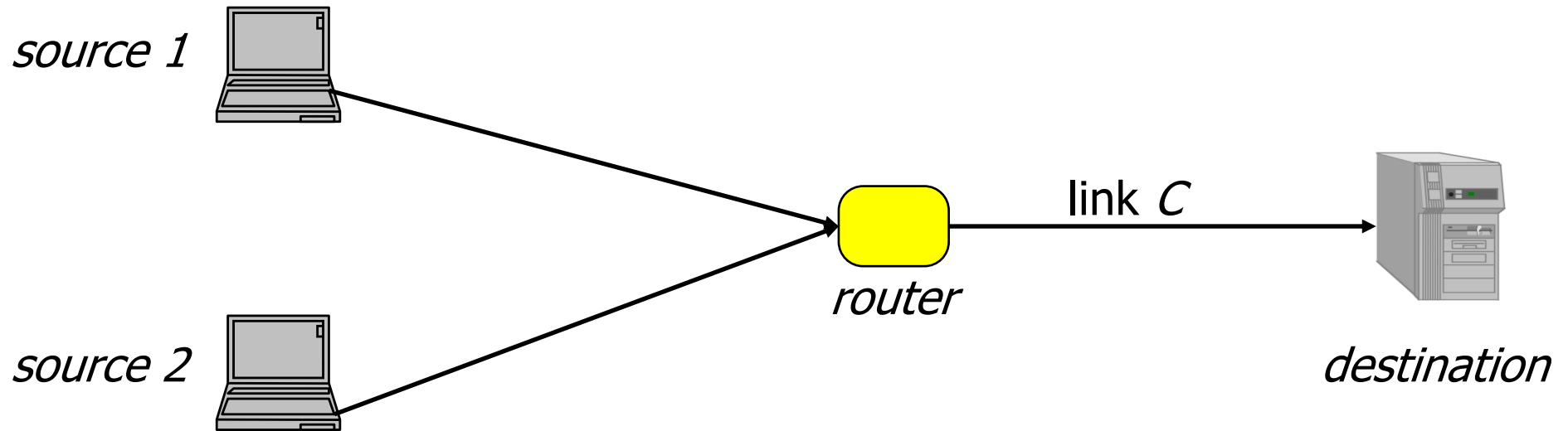
Ensure fairness

- When we apply a multiplicative increase or decrease, the unfairness is unchanged
- An additive increase decreases the unfairness, whereas an additive decrease increases the unfairness
- To obtain that unfairness decreases or remains the same, and such that in the long term it decreases
 - $v_1 = 0$ decrease must be **multiplicative**
 - $u_0 = 1$ increase must be **additive**

Result

- Fact
 - In order to satisfy efficiency and convergence to fairness, we must have a multiplicative decrease (namely, $u_1 < 1$ and $v_1 = 0$) and a non-zero additive component in the increase (namely, $u_0 \geq 1$ and $v_0 > 0$).
 - If we want to favour a rapid convergence towards fairness, then the increase should be additive only (namely, $u_0 = 1$ and $v_0 > 0$).
- **AIMD - Additive increase, Multiplicative decrease**

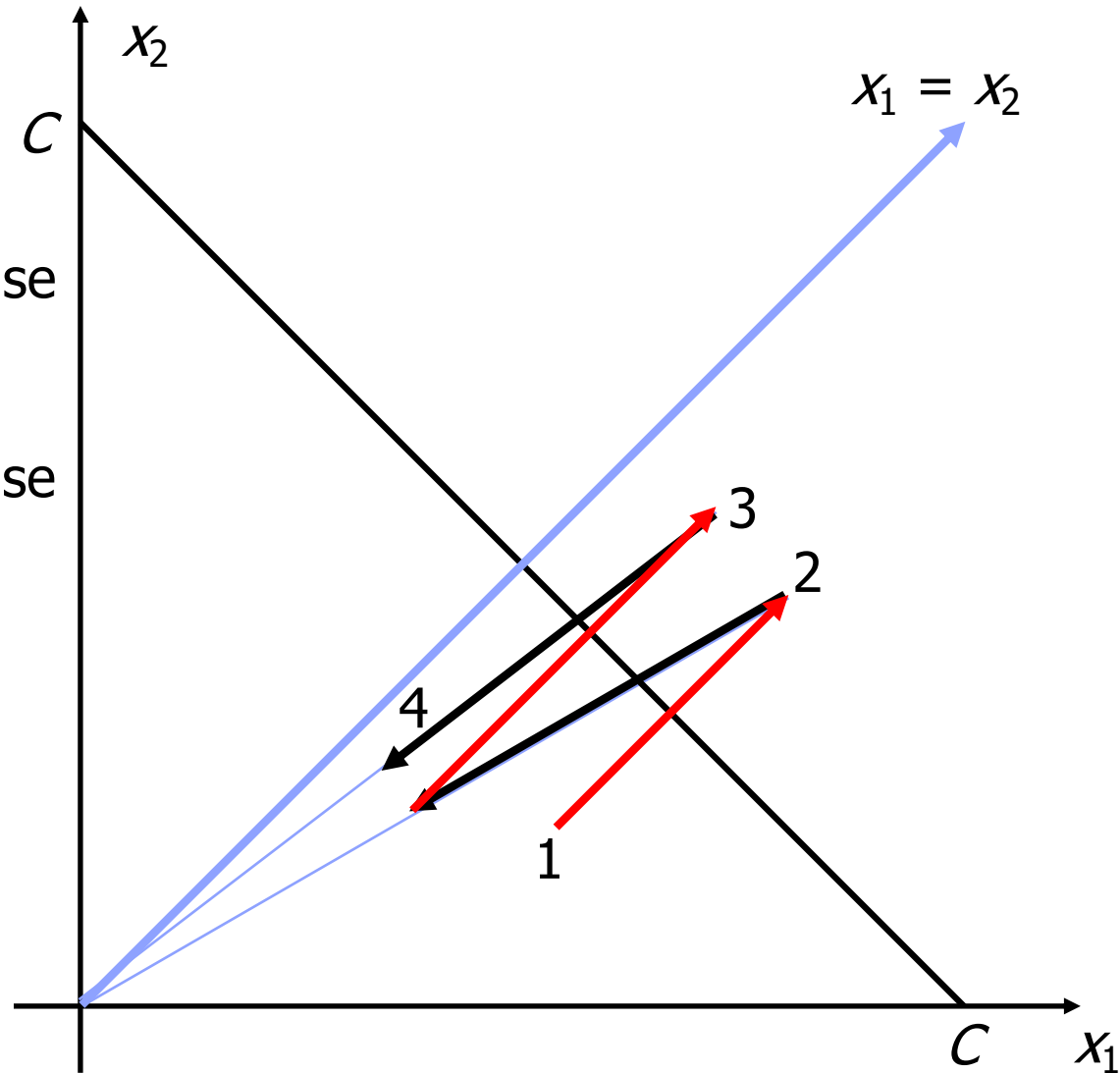
Why AI-MD works?



- Simple scenario with two sources sharing a bottleneck link of capacity C

Throughput of sources

1. Additive increase
2. Multiplicative decrease
3. Additive increase
4. Multiplicative decrease



Different types of CC

- Router/Switch centric (ATM)
 - switch decides which packet transmit or discard
 - switch notifies the source at which rate it should send
- Open loop (ATM)
 - resource reservation
 - admission control
- Host centric (TCP)
 - host observes the network and adjust the rate
- Closed loop with feedback
 - information on congestion state
 - implicit - packet loss (TCP)
 - explicit (RTCP)

Different types of CC

- Rate-based control
 - negotiated with network
 - adjusted if needed
 - ATM, RTP
- Window-based control
 - defines the volume of data to send
 - TCP
- Open loop implies
 - Router/Switch centric
 - rate-based control

Facts to remember

- In a packet network, sources should limit their sending rate by taking into consideration the state of the network
- Maximizing network throughput as a primary objective may lead to large unfairness
- Objective of congestion control is to provide both efficiency and some form of fairness
- Fairness can be defined in various ways: equal share, max-min, proportional
- End-to-end congestion control in packet networks is based on binary feedback and the adaptation mechanism of additive increase, multiplicative decrease.